

2/06/02
Docket 83669DMW
Customer No. 01333



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Marcelo de C. Guimaraes, et al

APPARATUS AND METHOD FOR
ACCURATE ELECTRONIC
COLOR CAPTURE AND
REPRODUCTION

Serial No.: To Be Assigned

Filed February 21, 2002

Commissioner for Patents
Washington, D.C. 20231

Group Art Unit:

Examiner:

Express Mail Label No. EL809164581US

2/21/02
Date

Sir:

INFORMATION DISCLOSURE STATEMENT FOR CONSIDERATION

BY THE OFFICE UNDER 37 C.F.R. 1.97-1.99

Enclosed herewith are patents and/or publications for consideration by the Patent and Trademark Office in regard to the invention claimed in the above-described application. In compliance with §1.56, such documents are listed in the enclosed Form PTO-1449.

Applicants request that the Patent and Trademark Office make of record the above-identified documents. Unless otherwise indicated, a full text copy of each document is attached. For documents not in English, an English translation or an equivalent English language patent or publication may be attached. Where a translation is not available, a concise explanation of the relevance of each document not in English is included either here or in the specification.

This Information Disclosure Statement (hereinafter "Statement") is submitted according to the following selected paragraph:

- I. ☒ This Statement is being filed under §1.97(b) within three months of the filing date of the application (other than a CPA), or before the mailing of a first Office action on the merits or before the mailing of a first Office action after the filing of a request for continued examination.
- II. ☐ This Statement is being filed under §1.97(c), with fee, **prior** to the mailing date of any of a final action, a notice of allowance or an action that otherwise closes prosecution in the application. Please charge the fee required by §1.17(p) to Eastman Kodak Company Deposit Order Account Number 05-0225. A duplicate copy of this Certification is enclosed.

III. ☐ This Statement is being filed under §1.97(c), with a certification under, §1.97(e) **prior** to the mailing date of any of a final action, a notice of allowance or an action that otherwise closes prosecution in the application. The undersigned hereby states that (check one):

☐ each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement.

☐ no item of information in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this certification under §1.97(e) after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this Statement.

IV. ☐ This Statement is being filed under §1.97(d), with fee and certification under §1.97(e), on or after the mailing date of either a final action, a notice of allowance (but prior to payment of the issue fee) or an action that otherwise closes prosecution in the application. Please charge the fee required by §1.17(p) to Eastman Kodak Company Deposit Order Account No. 05-0225. A duplicate copy of this Certification is enclosed. The undersigned hereby states that (check one):

☐ each item of information in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement.

☐ no item of information in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this certification under §1.97(e) after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this Statement.

Respectfully submitted,



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DMW/RGR

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Enclosures

FORM PTO-1449

US DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

Atty. Docket No.

83669DMW

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Serial No.

If AFTER the later date of the first Office Action
or 3 months from filing, use only with Rule 97(E)
Certificate or Fee

Applicant:

Marcelo de C. Guimaraes, et al

LIST OF ART CITED BY APPLICANT

(Use several sheets if necessary)

Filing Date

February 21, 2002

Group

JC903 U.S. PTO
10/080231
02/21/02

U.S. PATENT DOCUMENTS

Examiner Initial*	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	USSN 09/082,956		Nestor M. Rodriguez			5/21/98
	4,935,816	6/19/90	Robert A. Faber	358	160	6/23/89
	5,475,425	12/12/95	Glenn B. Przyborski et al.	348	239	1/25/94
	5,582,961	12/10/96	Edward J. Giorgianni et al.	430	508	6/6/95
	5,609,978	3/11/97	Edward J. Giorgianni et al.	430	30	6/6/95
	5,668,596	9/16/97	Richard M. Vogel	348	222	1/29/96
	6,269,217	7/31/01	Nestor M. Rodriguez	386	46	5/21/98

FOREIGN PATENT DOCUMENTS

Examiner Initial*	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
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OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	"The Theory of the Photographic Process", Fourth Edition, New York: Macmillan Publishing Co., 1997, pp. 561-577.
	"Optimization of Camera Spectral Sensitivities" by S. Quan, N. Ohta, and N. Katah (IS&T/SID Eighth Color Imaging Conference, 2000), pp. 273-278.

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.